



K. K. Stock Management Pvt. Ltd.

Member : BSE • NSE • DP - CDSL

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Policy regarding treatment of INACTIVE ACCOUNTS / DORMANT ACCOUNTS

INACTIVE ACCOUNT: Where no transaction has taken place in client's account during the last 24 months from the date of last transaction, then it will be considered as inactive / dormant account.

CONSEQUENCES OF INACTIVE ACCOUNT: On a client being declared inactive,

- (1) The client's funds and security account shall be settled.
- (2) The account of the client shall be locked and the client shall not be permitted to execute a fresh transaction in the account.

CLIENT DECLARED INACTIVE VOLUNTARILY:

A client may write to KKSMPL stating that he wishes to transfer his account into an inactive" status, based on which the account will be marked as such.

CLIENT DECLARED INACTIVE BY PASSAGE OF TIME:

Any client who has not traded continually for a period of 5 years and has also not renewed his running account authorization for 5 continuous years will automatically be moved to the "inactive" category.

CLIENT DECLARED INACTIVE BY LAW:

Any client will be moved to the "inactive" category if required by law.

PROCEDURE TO ACTIVATE THE LOCKED CLIENT ACCOUNT:

An e-mail request to reactive the account and process the transaction. Such e-mail request shall be sent only from the e-mail id of the Client registered with KKSMPL; or A written request to reactive the account and process the transaction duly signed by Client and submitted to KKSMPL;

The above stated policy may vary depending on various rules, regulations and bye laws as may be prescribed by SEBI, exchanges or any other authority or as per Internal Policy of KKSMPL from time to time. This Policy for dormant accounts is over and above the transaction monitoring in Dormant Account as per anti Money Laundering Policy of the Company.

